

ALASKA “ROUND 2” CARES ACT FISHERIES ASSISTANCE

In an effort to process your application as quickly as possible, we are **UNABLE TO CONFIRM** your application’s arrival. Phone calls asking for status will not be returned.

We strongly recommend applicants submit their paperwork with a **trackable** (return receipt requested) **method**. The United States Postal Service (USPS), FedEx, and UPS all offer this service. This will allow you the applicant to know when your application is received by our office.

Should there be any issues, errors, omissions, or concerns with your application, PSMFC staff will contact you. Please ensure you are providing an email and / or phone number that you can be reached out for contact purposes.

PLEASE:

- Complete all applicable sections of the application.
- Ensure you are providing an email and / or phone number that is being monitored and where you can be reached at.
- Print, date, and sign the affidavit.
- Complete and sign the attached W-9 tax form.
 - All participants receiving funds are required to complete a W-9 form.
 - PSMFC will use this information to issue a 1099-NEC form for the calendar year in which funds are disbursed.
 - All funds received are taxable, subject to income and self-employment taxes.
- Return your completed / signed application and W-9 forms to:
 - **Application packets must be postmarked no later than 10/31/2022**
 - **Late applications will NOT be accepted**

PSMFC – Alaska CARES
205 SE Spokane Street
Suite 100
Portland, Oregon 97202

For questions, please write to:
AKCares@psmfc.org (PSMFC)
dfg.com.caresact@alaska.gov (ADF&G)
or call (888) 517-7262



Alaska “Round 2” CARES Act Fisheries Assistance

Provided by the Consolidated Appropriations Act, 2021

Commercial Harvesting Sector

SECTOR SPECIFIC ELIGIBILITY REQUIREMENTS

- **MUST** have held one or more of the following:
 - 2020 Commercial Fisheries Entry Commission (CFEC) Commercial Vessel License
 - California non-resident vessel permit
 - Oregon non-resident commercial fishing vessel for vessel-based harvesters
 - Support vessels are not eligible for a share.
 - 2020 CFEC Commercial Fishing Permit
 - Washington non-resident commercial license that authorizes fishing for or delivery of food fish or shellfish in Washington
 - California non-resident commercial fishing license
 - Oregon non-resident commercial fishing license for non-vessel harvesters
 - **Permits for fisheries that did not open in 2020 for reasons unrelated to COVID-19 will not be eligible for a share.**
 - **Permits revoked at any time during 2020 will not be eligible for a share.**
 - **Metlakatla tribal fishery permits will not be eligible for shares.**
 - 2020 NOAA Fisheries License Limitation Permit
 - 2020 NOAA Fisheries Halibut/Sablefish Individual Fishing Quota (IFQ) Permit
 - 2019/2020 or 2020/2021 NOAA Fisheries Bering Sea and Aleutian Island Crab Rationalization IFQ Permit
- Federal permit transfers and emergency transfers of CFEC permits in 2020 are eligible and will be split accordingly between the transferor and transferee(s).
 - Only the transferee is eligible for a share if the federal or CFEC permit was permanently transferred in 2020.

In coordination between Alaska, the West Coast states, and PSMFC on residency requirements for Round 2, Commercial Harvesting applicants should now only be eligible to apply to one state’s “Round 2” spend plans. In rare cases, some commercial harvesters may also meet the eligibility criteria in another state’s spend plan. However, **ALL** individuals or businesses **MUST** meet all sector eligibility requirements and may **NOT** apply for assistance in another state or territory if applying under the Alaska spend plan or vice versa for commercial harvesting losses.

INDIVIDUAL PERSONS

- **MUST** be an Alaskan resident meeting at least one of the following criteria:
 - Eligible to receive an Alaska Permanent Fund Dividend in 2021 for calendar year 2020. Please visit <https://myinfo.pfd.dor.alaska.gov/> to search for your application;
 - Possessed or eligible to receive a 2020 Alaska resident sport fishing license from the Department of Fish and Game. Please visit <https://www.adfg.alaska.gov/index.cfm?adfg=license.residency> for a full definition of resident and the department’s residency guidelines;
 - Identified as a resident in 2020 by the Commercial Fisheries Entry Commission. Please visit <https://www.cfec.state.ak.us/> for questions regarding CFEC fishery permits and vessel licensing;
- A resident of a state that did not receive Section 12005 CARES Act and Consolidated Appropriations Act of 2021 allocations.
 - Eligible 2015-2019 revenues earned by eligible Alaskan licenses/permits must be greater than or equal to eligible revenues earned in any other state.

BUSINESS ENTITIES

All partnerships and corporations applying on behalf of the business entity must have an active business license or corporate entity ID number issued by the Alaska Department of Commerce, Community, and Economic Development. Those who do not have either must apply as individual persons in accordance with the above residency requirements.

- Corporate Entities (S-corporations, C-corporations, LLC-S, and LLC-C)
 - **Must have a corporate entity ID number issued by the Alaska Department of Commerce, Community, and Economic Development.**
 - Domestic Alaskan entities may apply to Alaska if any eligible 2015-2019 revenues were earned from delivery of raw, or other first offload of at sea processed, fish and shellfish into Alaska.
 - “Domestic” means a business formed inside Alaska and not registered as a domestic entity in another state.
 - Foreign entities may apply to Alaska if eligible 2015-2019 revenues earned from delivery of raw, or other first offload of at sea processed, fish and shellfish into Alaska are greater than or equal to revenues earned in any other state.
 - “Foreign” means a business entity that does business in Alaska but was formed outside of Alaska.
 - Must provide their Employer Identification Number (EIN).
- Partnerships including LLC-P
 - **Must have had an active business license issued by the Alaska Department of Commerce, Community, and Economic Development in 2020.**
 - Must have earned eligible 2015-2019 revenue from eligible Alaskan licenses/permits.
 - Must provide their Employer Identification Number (EIN).
- Single-Member LLC (owned by an **individual**)
 - Must meet the above requirements of an individual.
 - No business license is required.
 - Must provide the individual’s Social Security Number (SSN).
- Single-Member LLC (owned by a **corporation or partnership**)
 - Must meet the above requirements of a corporation or partnership (dependent on the parent entity’s tax classification)
 - Must provide the parent corporation or partnerships’ Employee Identification Number (EIN).

General Eligibility Requirements

- Applicants must be at least 18 years old to apply per federal requirements.
- Applicants must self-certify that they are not de-barred from receiving federal funds and are not on the federal government “do not pay list”.
- Applicants must be a participant in a marine or anadromous fishery.
- Fishery participants who are eligible for Consolidated Appropriations Act of 2021 and Section 12005 CARES Act funding may also apply for federal assistance under other federal COVID-19 relief programs, they should not apply to other federal programs for assistance to address the same impacts resulting from COVID-19. For example, fishery participants could seek assistance to cover lost revenues from multiple programs, but if one program covers all lost revenue, they should not apply to another program to cover those same losses.

Caution on Residency Violations

In reviewing Round 1 applications, PSMFC identified individuals listed as holding resident licenses in more than one state or holding a resident license of one state while applying from an address in a different state. Holding an Alaskan resident license or permit improperly or when also holding a resident license or permit from another state may constitute a violation, e.g., Permanent Fund Dividend fraud, and may violate the laws of other states as well.

Applicants to this spend plan that PSMFC identifies as suspect in terms of residency status will be referred for enforcement or the appropriate administrative processes in each applicable state. If the appropriate authorities determine that the applicant held a resident license or permit improperly, the applicant will be deemed ineligible under this spend plan. If the violation is not confirmed until after payments from the spend plan are distributed, audit or prosecution may seek return of any payment received under the Alaska and/or other state spend plans.

35% REVENUE LOSS REQUIREMENT

In Section 3, applicants must certify that they incurred a greater than 35% loss in fishery participation gross revenue for the complete time period from March 1, 2020 to December 31, 2020, as a **direct** or **indirect result** of COVID-19.

- Applicants that did not participate in an eligible fishery sector for all preceding five years are eligible to apply if they participated in 2018 and 2019. These applicants must use an average gross revenue for the same time period, March 1 – December 31 for years available.
- Calculate average gross revenue for preceding years using gross revenue from all permits/licenses held during the time period, regardless of whether a permit/license was held for the entire time period.
 - **DO NOT include crew member revenue in gross revenue calculation.**
 - **DO NOT** include COVID-19 pandemic-related assistance through grant, loan funding, or unemployment to calculate economic revenue loss for 2020.
 - **DO NOT** include federal stimulus checks administered in 2020.
 - **DO** include revenue from purchases of seafood product by the USDA or other federal entities to calculate economic revenue loss for 2020.
- Economic revenue losses must be “incurred,” therefore income and/or loss projections will not be accepted.
- Applicants must attest to having documentation/records to support the losses stated on the application and used as the basis for eligibility. All funds are subject to federal audit; therefore, documentation/records must be maintained until October 31st, 2026, and made available upon request by Pacific States Marine Fisheries Commission, NOAA Fisheries, or the Department of Commerce Office of the Inspector General.

Example

| Year | 2015 | 2016 | 2017 | 2018 | 2019 |
|--------------------------------------|----------|----------|----------|----------|----------|
| Gross Revenues (March 1 – Dec 31) | \$40,000 | \$25,000 | \$60,000 | \$70,000 | \$55,000 |

2015-2019 Total gross revenues = \$250,000
 $\$250,000 \div 5 \text{ (years)} = \$50,000 \text{ (5-year average gross revenue)}$

How to calculate % loss

2020 gross revenue = \$32,000
AVERAGE 5-year gross revenue = \$50,000

- **Step 1 – ABSOLUTE LOSS:** $\$50,000 - \$32,000 = \$18,000$
- **Step 2 – RELATIVE LOSS:** $\$18,000 \div \$50,000 = 0.36$
- **Step 3:** $0.36 \times 100 = 36.0\%$

CANNOT BE MADE “MORE THAN WHOLE” REQUIREMENT

In Section 3, applicants must attest that the sum of funds received under the Consolidated Appropriations Act of 2021, CARES Act Section 12005, traditional revenue in 2020, and any COVID-19 pandemic-related aid will not exceed average annual fisheries revenue earned across the previous 5 years. If it is found that an applicant will be made “more than whole” by the Consolidated Appropriations Act payment, the payment amount will be adjusted accordingly to ensure the applicant will not be made more than whole.

Example: 5-year Average Annual Gross Revenue = \$50,000

Step 1: 2020 Annual Gross Revenue (\$32,000) + Round 1 CARES Act payment (\$5,000) = \$37,000

- Include all of the following:
 - Traditional gross fisheries revenues earned between January 1st and December 31st.
 - Include unemployment benefits for fishing related activities.
 - Any additional FEDERAL COVID-19 relief
 - Grants or loans that will not be repaid.
 - **DO NOT** include federal stimulus checks administered in 2020.
 - Any funds received through PSFMC for Alaska’s Round 1 CARES Act Fisheries Assistance

Step 2: Average \$50,000 – Sum \$37,000 = \$13,000 UNDER Average, Eligible.

- Do not include payments received in 2020 for the Seafood Tariff Relief Program, USDA Loans, federal fishery disaster payments received in 2020, or COVID-19 pandemic-related loans that will be repaid such as an Economic Injury Disaster Loan.

Special consideration for all sectors: fisheries participants who are active-duty military and were deployed for a period of time between 2015 and 2020 may be eligible for assistance. Determinations will be made on a case-by-case basis. Applicants will be required to submit military orders of deployment with dates with application.

PAYMENT CALCULATION - Shares

Eligible applicants shall receive (1) share per fishery permit/license, see Table 1 below. Once all applications have been received, the total number of shares will be counted to determine the value of a single share and payments for applicants will be calculated accordingly.

Transfers: Federal permit transfers and emergency transfers of CFEC permits in 2020 are eligible for a share and will be split accordingly between the transferor and transferee or transferees. However, only the transferee is eligible for a share if the federal or CFEC permit was permanently transferred in 2020. In the event of a permit being emergency transferred more than once in 2020, the share will be split accordingly (split into thirds or fourths etc.).

Special consideration for dive fisheries: commercial dive fisheries that were impacted by COVID-19 prior to March 1, 2020 may use January 1, 2020 – December 31, 2020 to calculate loss and 5-year average.

| Table 1 – Commercial Harvesting Shares System | |
|--|-------------------------|
| Permit Type | Number of Shares |
| CFEC Fishery Permit | 1 |
| CFEC Vessel License | 1 |
| NOAA Fisheries Permit | 1 |
| Comparable Permit from Other Eligible Fishery | 1 |

Examples

Allocation Total – \$13,760,357

- CFEC Fishery Permits – 18,926
- CFEC Vessel Licenses – 731
- NOAA Fisheries Permits – 5,301

$\$13,760,357 \div 24,958 = \551 (base share value, 1 share)

Mr. Smith has met all eligibility requirements and holds three 2020 CFEC commercial fishery permits and one 2020 CFEC vessel permit. In 2020 Mr. Smith completed an emergency transfer for one of his permits to Ms. Doe. Ms. Doe has one 2020 fishing permit in addition to the one she received by transfer from Mr. Smith. Therefore, Mr. Smith gets 3.5 shares for a payment of \$1,928. Ms. Doe gets 1.5 shares for a payment of \$826. This estimate assumes that every permit and CFEC license will be eligible for a share and that every permit holder in the State of Alaska will meet the greater than 35% revenue loss threshold.

There is a total of **\$13,760,357** available for direct payments for the commercial harvesting sector. The share payment system for the commercial harvesting sector will require all applications be received in order to count the total number of shares with the sector and calculate payments accordingly.

Closed Alaska Commercial Fisheries (2016-2020)

| Region | Fishery | CFEC Permit | Notes |
|---|---|--------------------------------------|---|
| Southeast | Southeast Red/Blue King Crab | K 19A | Closed 2016, 2018-2020 due to stock status. Fishery was open in 2017. |
| | | K 29A | |
| | | K 49A | |
| | | K 69A | |
| | Yakutat Dungeness Crab | D 09D D 91D | Closed from 2016-2020 due to stock status |
| | Yakutat Tanner Crab | T 09D T 91D | Closed from 2016-2020 due to stock status |
| Southeast Gillnet Herring Roe | G 34A | Closed 2016-2020 due to stock status | |
| Northern Southeast Herring Spawn on Kelp | L 21A | Closed 2016-2020 due to stock status | |
| Southcentral | Prince William Sound Dungeness Crab | D 09E D 91E | Has not been open since 1992 |
| | Cook Inlet Dungeness Crab | D 91H D 10H D 09H | D 09H has not been open since the 1990s. |
| | Cook Inlet Tanner Crab | T 09H T 91H | Has not been open since 1994 |
| | Prince William Sound King Crab | K 09E K 91E | K 09E fishery has not been open since 1998. K 91E fishery was not opened from 1994-2019. There is test fishery harvest for K 91E in 2020. |
| | Cook Inlet King Crab | K 09H K 91H | Did not find harvest in 1985-present fish ticket data. |
| | Cook Inlet Shrimp | P 07H P 17H P 09H P 91H | Has not been open since the 1990s. |
| | Prince William Sound Herring Roe | G 01E G 34E | Has not been open since the 1990s. |
| | Cook Inlet Herring Roe | G 01H/G01E | G 01H has not been open since 2000. |
| | Prince William Sound Herring Spawn on Kelp | L 12E L 21E | Has not been open since 1999. |

| | | | |
|------------------------|---|-------------------------|--|
| | Bristol Bay Herring Spawn on Kelp | L 12T | Has not been open since 2003. |
| Arctic-Yukon-Kuskokwim | Kotzebue King Crab | K 09X K 91X | Has not been opened since 2005 |
| | Kotzebue Herring Food and Bait | H 02X H 34X | Did not find harvest in 1985-present fish ticket data. |
| | Nunivak Island Herring Roe (Kuskokwim Area) | G 34U | There have been no commercial herring roe fisheries prosecuted in Kuskokwim Area districts for 10+ years because of a lack of buyers and market interest. |
| | Security Cove Herring Roe (Kuskokwim Area) | G 34S | There have been no commercial herring roe fisheries prosecuted in Kuskokwim Area districts for 10+ years because of a lack of buyers and market interest. |
| | Goodnews Bay Herring Roe (Kuskokwim Area) | G 34W | There have been no commercial herring roe fisheries prosecuted in Kuskokwim Area districts for 10+ years because of a lack of buyers and market interest. |
| | Cape Avinof Herring Roe (Kuskokwim Area) | G 34V | There have been no commercial herring roe fisheries prosecuted in Kuskokwim Area districts for 10+ years because of a lack of buyers and market interest. |
| | Nelson Island Herring Roe (Kuskokwim Area) | G 34N | There have been no commercial herring roe fisheries prosecuted in Kuskokwim Area districts for 10+ years because of a lack of buyers and market interest. |
| | Cape Romanzof Herring Roe | G 34Y | There have been no commercial herring roe fisheries prosecuted in the Cape Romanzof district for 10+ years because of a lack of buyers and market interest. |
| | Norton Sound Herring Roe | G 02Z G 34Z | There have been no commercial herring roe fisheries prosecuted in the Norton Sound district for 10+ years because of a lack of buyers and market interest; however, the commercial herring fishery has been opened periodically to allow for a small local bait fishery to supply a bait market in the commercial red king crab fishery. |
| | Kotzebue Herring Roe | G 01X G 02X G 34X | Has not been opened since 1996. |
| Westward | Chignik Salmon | S 01L | Closed in 2020 |
| | Chignik Tanner Crab | T 09L | Closed 2013-2020 |
| | Alaska Peninsula Tanner Crab | T 09M T 91M | Closed 2014-2020 |
| | Saint Matthew Island Blue King Crab* | K 09Q K 91Q | Closed 2016-2020 |

| | | |
|---|---|--|
| Pribilof Islands Red and Blue King Crab* | K 09Q K 91Q | Closed 1999-2020 |
| Chignik Herring Food and Bait | H 01L H 34L | Did not find harvest in 1985-present fish ticket data. |
| Chignik Herring Roe | G 01L G 34L | Has not been opened since 1996. |
| BSAI Crab Fisheries | Contact ADF&G for information as needed | |

*K 09Q and K 91Q permit includes all “Bering Sea” Fisheries

W-9 Form Instructions

Errors and omissions made on W-9 forms are the largest contribution to delays in processing applications. Please note, without a complete W-9 form we cannot process your application.

Please review the following guidance for completing your W-9 form. Additional guidance and forms can be found at www.irs.gov

1. If you are an **individual / sole proprietor** you **MUST**:
 - List your name on line #1,
 - Use your Social Security Number,
 - Enter your mailing address (where you receive mail),
 - Sign the form,
 - Date the form.
2. If your business is a **single-member LLC** you **MUST**:
 - List the name of the individual or the business/partnership who owns the LLC on line #1,
 - List the name of the LLC on line #2,
 - If an individual is listed on line #1, use their Social Security Number or if a business or partnership is listed on line #1 use their Employer Identification Number (EIN),
 - Enter your mailing address for the entity listed on line #1,
 - Sign the form,
 - Date the form.
3. If your business is a **C-Corporation, S-Corporation, Partnership** you **MUST**:
 - List the Business Name line #1,
 - Use an Employer Identification Number (EIN),
 - Enter the business's mailing address,
 - An authorized representative must sign,
 - Date the form.
4. If you are a **Trust/Estate** you **MUST**:
 - List the name of the trust or estate on line #1.
 - Living or revocable trusts (trustee is current alive) would be required to use a Social Security Number.
 - Irrevocable trusts (trustee is deceased) would be required to use an Employer Identification Number (EIN),
 - Enter the trust/estate's ,mailing address,
 - An authorized representative must sign,
 - Date the form.
5. If you are an **LLC-C, LLC-S, or LLC-P (not common)** you **MUST**:
 - List the Business Name on line #1,
 - Use an Employer Identification Number (EIN),
 - Enter the business's mailing address,
 - An authorized representative must sign,
 - Date the form.



ALASKA "Round 2" CARES Act Fisheries Assistance

Provided by the Consolidated Appropriations Act, 2021

COMMERCIAL HARVESTING SECTOR

NAME: _____
LAST FIRST MI

BUSINESS: (if applicable): _____

STREET: _____ **PO BOX** (if applicable): _____

CITY: _____ **STATE:** _____ **ZIP:** _____

EMAIL: _____ **PHONE:** _____

Section 1: Self-Certifications and Assurances

I am applying for Federal assistance from the Department of Commerce, National Oceanic and Atmospheric Administration, National Marine Fisheries Service; through the Pacific States Marine Fisheries Commission.

Please check all statements certifying that the following are true:

I attest that I am an eligible recipient of assistance under the Consolidated Appropriations Act, 2021, the CARES Act (P.C. 116-136) Section 12005, and the approved Alaska spend plan;

I attest that I am not a minor and I am over the age of 18 as of the signing of this application;

I attest that I am not de-barred from receiving federal funds;

I attest that I am not on the government's "do not pay list";

I attest that I am a participant in a marine or anadromous fishery in the waters of Alaska;

I attest that I am requesting funds to address fishery-related direct or indirect losses due to COVID-19 and the incurred economic revenue losses are greater than 35.0%;

I attest to documenting the total loss difference in gross revenues, attributable to COVID-19 between March 1st and December 31st, 2020 and the average gross revenue for the same time frame across all fisheries during the comparison years;

I attest to having documentation/records to support the losses claimed in this application, and that were used as the basis of eligibility; I agree to maintain these records until October 31, 2026. Records must be made available upon request from Pacific States Marine Fisheries Commission, the National Oceanic and Atmospheric Administration, or the U.S. Office of the Inspector General;

I attest to documenting any "Round 1" CARES Act relief received that was issued by the Pacific States Marine Fisheries Commission (PSMFC);

I attest that I have not applied for or received assistance under the California's Sec. 12005 CARES Act (Round 1), Consolidated Appropriations Act, 2021 (Round 2), or any other spend plan where applicants may have attested that they were only seeking funds from that spend plan;

I attest that the sum of these funds combined with any additional COVID-19 related federal financial assistance that will not be repaid, unemployment benefits for fishing activity, and/or any other revenues for fishing activity received during 2020, will not exceed my average annual gross revenue earned across the previous 5-years, (or average used if not in operation for the full 5-years) and if they do I will repay the federal government through PSMFC for the surplus up to the amount received from PSMFC.

Section 2: Licenses and Permits

| SELECT ALL APPLICABLE LICENSES/PERMITS | | LIST ALL APPLICABLE LICENSES/PERMITS |
|--|---|---|
| | | Shares will be based off each license/permit documented below |
| | 2020 CFEC commercial fishing permit PROVIDE PERMIT NUMBER E.G., S03T- 12345 or B06B- 54321 | |
| | Washington non-resident commercial license (authorizing fishing for or delivery of food fish or shellfish in WA) | |
| | Oregon non-resident commercial fishing license (for non-vessel harvesters) | |
| | California non-resident commercial fishing license PROVIDE VESSEL GOID | |
| | 2020 NOAA Fisheries License Limitation Permit | |
| | 2020 NOAA Fisheries Halibut / Sablefish IFQ Permit | |
| | 2019/20 or 2020/21 NOAA Fisheries Bering Sea & Aleutian Island Crab Rationalization IFQ Permit | |
| | 2020 CFEC commercial vessel license PROVIDE ADFG NUMBER(s) (triangular metal number plate on vessel) | |
| | Oregon non-resident commercial fishing vessel license (for vessel-based harvesters) | |
| | California non-resident vessel permit PROVIDE PERMIT GOID | |
| | <ul style="list-style-type: none"> • Permits for fisheries that did not open in 2020 for reasons unrelated to COVID-19 will NOT be eligible for a share. See https://relief.psmfc.org/alaska-cares-act-information/ for more information. • Permits revoked at any time during 2020 will NOT be eligible for a share. • Metlakatla tribal fishery permits will NOT be eligible for shares. • Federal permit transfers and emergency transfers of CFEC permits in 2020 are eligible and will be split accordingly between the transferor and transferee(s). Only the transferee is eligible for a share if the federal or CFEC permit was permanently transferred in 2020. | |
| Attach an additional sheet if more space is needed to list all your applicable licenses/permits. | | |

Section 3: “Round 2” Claim

MUST use precise dollar amounts. Applicants may only round to the nearest whole dollar. Excessive rounding may lead to disqualification.

| | | 35% Loss window analysis (March 1st through Dec 31st) | “More than whole” ANNUAL analysis additional revenues (if applicable) |
|---|---|---|---|
| 1 | 2020 Gross fisheries revenues | \$ _____ earned between March 1 – Dec 31, 2020 | \$ _____ earned between Jan 1 – Feb 29, 2020 |
| 2 | <p>Gross fisheries revenues for each comparison year of operation:</p> <ul style="list-style-type: none"> ▪ MUST use all available years of operation. ▪ Operation is defined as possessing a qualifying license/permit in a given year. ▪ Applicants operating less than 5-years may qualify but MUST have operated in 2018 & 2019. ▪ Applicants citing a federal fishery disaster must use the next available year, e.g., 2014, in lieu of the disaster year. ▪ 6-year averages are NOT permitted. | <p>\$ _____ earned between March 1 – Dec 31, 2019</p> <p>\$ _____ earned between March 1 – Dec 31, 2018</p> <p>\$ _____ earned between March 1 – Dec 31, 2017</p> <p>\$ _____ earned between March 1 – Dec 31, 2016</p> <p>\$ _____ earned between March 1 – Dec 31, 2015</p> <p>\$ _____ earned between March 1 – Dec 31, 2014</p> | <p>\$ _____ earned between Jan 1 – Feb 28, 2019</p> <p>\$ _____ earned between Jan 1 – Feb 28, 2018</p> <p>\$ _____ earned between Jan 1 – Feb 28, 2017</p> <p>\$ _____ earned between Jan 1 – Feb 29, 2016</p> <p>\$ _____ earned between Jan 1 – Feb 28, 2015</p> <p>\$ _____ earned between Jan 1 – Feb 28, 2014</p> |
| 3 | AVERAGE (using all comparison years of operation) | \$ _____ | Total amount of relief you received from Alaska’s “Round 1” CARES Act Fisheries Assistance from PSMFC (if applicable). Checks were mailed December 2021. |
| 4 | ABSOLUTE LOSS (line #3 – line #1) Average – 2020 revenues | \$ _____ | \$ _____ |
| 5 | RELATIVE LOSS (line #4 ÷ line #3) Absolute loss ÷ Average | _____ % | Amount of additional FEDERAL COVID-19 assistance that will not be repaid or unemployment benefits for fishing related activities received in 2020 (if applicable). \$ _____ |

*Commercial dive fisheries that were impacted by COVID-19 prior to March 1, 2020 may use January 1 – December 31, 2020 to calculate loss and 5-year average.

Section 4: Applicants who experienced a federally designated fishery disaster(s) during 2015-2019

- Applicants who experienced a federally designated fishery disaster during 2015-2019, and the disaster year(s) make the difference between meeting the greater than 35% threshold and not, then the applicant may substitute the next earliest non-disaster year(s) into the five-year average calculation, e.g., 2014.
- Applicants must have been a fishery participant in 2018 and 2019. Applicants who experienced a federally designated fishery disaster during one or both of these years and have no previous fishery participation must use fishery participation from 2018 and 2019 to calculate revenue loss for 2020.
- The federally designated fishery disaster **MUST** have been approved and listed on NOAA's website below; "pending" disaster declarations are not eligible.
- Applicants are required to report 2020 revenues. Applicants need not cite fishery disaster that occurred in 2020.

| Federally Designated Fishery Disaster(s) |
|--|
| |

- Bering Sea Tanner Crab Fishery, 2019
- Norton Sound Red King Crab, 2019
- Copper River and Prince William Sound Salmon Fisheries, 2018
- Upper Cook Inlet East Side Setnet Salmon, 2018
- Chignik Sockeye Salmon Fishery, 2018
- Gulf of Alaska Pacific Cod, 2018
- Gulf of Alaska Pink Salmon, 2016
- Complete listing: <https://www.fisheries.noaa.gov/national/funding-and-financial-services/fishery-disaster-determinations>

Section 5: Affidavit

Under penalty of perjury, I attest that the information I have provided on this application and self-certification & assurances is to the best of my knowledge accurate and true. I certify that I am eligible to receive Fisheries Assistance Funding provided by Consolidated Appropriations Act, 2021, Pub. L. 116-260 (December 27, 2020) (the "Act"), the CARES Act (P.C. 116-136) Section 12005, and the approved Alaska spend plan.

(Print Name)

(Date)

(Signature)

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

| | | | |
|--|----------|---|--|
| Print or type. See Specific Instructions on page 3. | 1 | Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. | |
| | 2 | Business name/disregarded entity name, if different from above | |
| | 3 | Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) ▶ _____ | 4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i> |
| | 5 | Address (number, street, and apt. or suite no.) See instructions. | Requester's name and address (optional) |
| | 6 | City, state, and ZIP code | |
| | 7 | List account number(s) here (optional) | |

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

| | | | | | | | | | | | |
|---------------------------------------|--|--|--|---|--|--|---|--|--|--|--|
| Social security number | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | - | | | - | | | | |
| or | | | | | | | | | | | |
| Employer identification number | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | - | | | | | | | |

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

| | | |
|------------------|-----------------------------------|---------------|
| Sign Here | Signature of U.S. person ▶ | Date ▶ |
|------------------|-----------------------------------|---------------|

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.